

## THE HOX NETWORK LEADS THE NEOPLASTIC TRANSFORMATION IN THE HUMAN SOLID TUMORS

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### ABSTRACT

Class I homeobox genes (HOX), are 39 transcription factors involved in the regulation of different cell processes: i) cell identity; ii) cell growth and proliferation; iii) cell communication; iv) determining anteroposterior axis during embryonic development; these genes are characterized by 183 nucleotide sequence (homeobox) encoding for a 61 amino acid domain (homeodomain).

HOX network controls the cell memory program during cell differentiation and is related to neoplastic transformation. Three gene families regulate the cell memory program: the Polycomb genes, able to block DNA-chromatin interaction leading to HOX genes silencing; the Trithorax genes, able to induce an open configuration of DNA-chromatin interaction and leading to HOX genes activation. Finally the HOX genes involved in the orchestration of the cell phenotype through the fine regulation of specific gene program.

The proposal of this review, considering the recent literature and my personal research, is clarify the involvement Class I homeobox genes in the control of neoplastic transformation.

**Key Words :** HOX, Cancer, Cell Memory Program, Solid Tumors

### INTRODUCTION

Class I homeobox genes (HOX), are 39 transcription factors, mainly involved in the regulation of embryonic development program; The HOX genes are characterized by a sequence of 183 nucleotides encoding a homeodomain of 61 amino acid that binds to DNA, as biological gripper (Fig.1), activating or repressing specific genes [1,2]. Moreover, this network is organized in four chromosomal clusters or loci (HOXA chr 7p15.3, HOXB chr 17q21.3, HOXC chr 12q13.3 and HOXD chr 2q31), each having 9-11 genes. Based on position within the locus and similarity of homeobox sequence, corresponding genes of the four clusters, can be aligned with each other in 13 paralogous groups (Fig. 2) [3]. The HOX network is active in adult human tissues and organs, controls the spatial-temporal generation of biological structure expected during embryonic development and regulates the cell memory program. Genes related to the cell memory program, finely control the cell phenotype. Epigenetic change in the cell

memory program is critical in the onset and evolution cancer [4]. Three genes families control the cell memory program: Polycomb, able to block the interaction DNA-chromatin leading to silencing of HOX genes. Trithorax genes, able to induce the mRNA transcription through an open configuration of DNA-chromatin interaction and leads to activation of HOX genes. Finally, the HOX genes involved in the control of the phenotype through a specific gene program, mainly by means of the accurate regulation of the mRNA transcription (Fig. 3) [5].

### miRNAs, ncRNAs and HOX genes

In the last decade, it has been identified 231 lncRNA able to interact with HOX genes; in details, six encoding miRNAs have been identified within the HOX network. Three genes are located between the HOX paralogous groups 9-10 and encoding miRNAs 196 (mir-196b, mir-196a-1 and mir-196a-2), two genes encoding for mir-RNAs 10a and 10b between the paralogous group HOX 4-5 and finally the mir-PGCEM1 close to 5' end of HOXD13. Therefore, the mir-196 and mir-10 show an overlapping with paralogous group HOX 9-10 and HOX 4-5 [6]. It has been demonstrated the role of miRNA-196, in several neoplastic transformation such as gastric and colorectal

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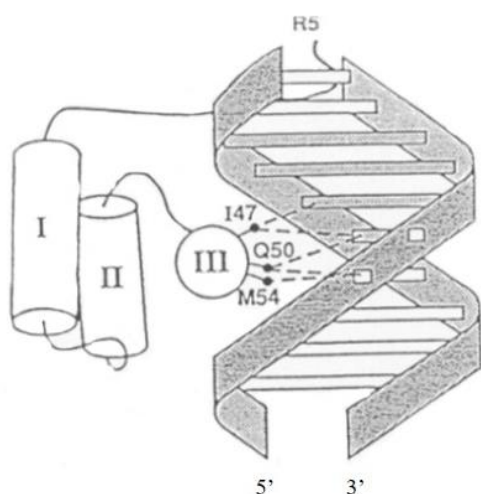
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cancer [7]. Different lncRNAs are implicated in the epigenetic control of DNA-chromatin interaction. One of the most important functions is given by the silencing of the X chromosome due to ncRNA XIST through interaction with Polycomb genes products [8]. The lncRNA HOX antisense intergenic RNA (HOTAIR) was identified in 2007. HOTAIR is transcribed from HOXC locus located at chromosome 12q13.13, in intergenic and antisense position to the flanking HOXC11 and HOXC12 genes [9,10,11,12]. HOTAIR is the first lncRNA that control the gene expression with Trans mechanism. In details, it is able to block the transcriptional control of the HOXD locus on the chromosome-2, including the unique Polycomb Responsive Element (PRE) to date identified in the genome. Recently, 30 genes were identified into the small bowel able to control the epithelial cell differentiation and celiac disease pathogenesis [13]. Several studies suggest that HOTAIR acts regulating epigenetic states by recruiting chromatin-modifying complexes to specific target sequences that ultimately leads to suppression of several genes [14] and also HOTAIR is a powerful predictor of poor prognosis in colon cancer, mostly controlling the EMT processes [15]. In conclusion, based on the literature, the lncRNA HOTAIR and HOX genes can be considered key factors in the alteration of the epithelial cell memory program. Close the 3' end of the locus HOX A, between HOXA1 and HOXA2, has been identified another lncRNA called HOX antisense intergenic RNA myeloid 1 (HOTAIRM1). The lncRNA HOTAIRM1 modulates the gene expression of HOXA locus, during myelopoiesis; ncRNA can suppress distant domains interacting with specific chromosomes areas [15]. Recently, it has been identified a lncRNA, HOXA transcript at the distal tip (HOTTIP),

transcribed from the 5' end of the HOXA locus that coordinates the activation of several 5' HOXA genes *in vivo* [16]. HOTTIP is expressed by the development to adulthood in lumbo-sacral anatomical locations. Depletion of HOTTIP in mice induces defects resembling HOXA11 and HOXA13 inactivation, suggesting the *in vivo* control of lumbosacral HOX genes by HOTTIP [16,17]. Finally, the molecular interactions described inside the network, support the concept of the molecular software able to regulate cell identity and cell-cell communication [15] (Fig. 4). Therefore, ncRNAs are able to act on chromosomal domains and control the expression of genes far from each other. In conclusion, lncRNA play a crucial role in the achievement of processes such as development, diseases and regenerative medicine mainly by means of smart regulation of gene expression.

### HOX genes in human disease

Several studies have demonstrated the involvement of homeobox genes and HOX genes in many human diseases, from cancer to pathophysiological processes against human organs (diabetes). A mutation in the HOXD13 sequence, leads to synpolydactyly, a rare hereditary disease characterized by supernumerary fingers and webbed hands. The mutation, results in the synthesis of the corresponding homeoprotein with the expansion of alanine at the amino-terminal domain [18]. Moreover, deregulation of HOXA13 gene, a gene belonging to HOX13 paralogous group, provokes a syndrome that determines malformation in the hands, foot, uro-genital structure and goes under the name of "hand-foot-genital syndrome" (HFG) [19]. These mutations confirm the crucial role of HOX genes in determining of the body structures and control of the cell growth [20]. Mutations and/or deregulation of HOX genes, have been described in several structural congenital alterations and associated with different human diseases including oncogenesis [21]. The normal development and neoplastic transformation are characterized by similar biological events concerning cell growth, cell proliferation, cell differentiation, cell communication and apoptotic pathway. Changes in specific steps of these processes, due to deregulation of genes usually implicated in the control of cell division and cell migration during embryonic development, leads to the acquisition of cancer cells phenotypes. Besides, it has already been shown the link, between embryonic development and cancer [22]. Several differences in the HOX genes expression exist between normal and neoplastic tissue, but is



**Fig. 1 : Alpha-helix homeodomain structure (see the text)**

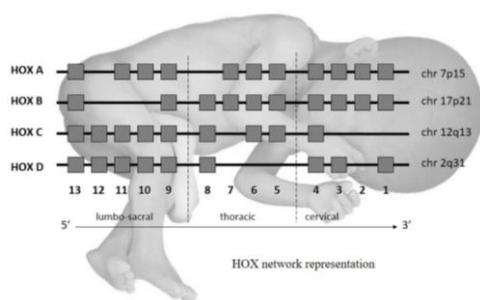


Fig. 2

not completely understood the functional relationship with the cancer phenotype. The HOX genes expression and malignant transformation, has been studied based on the hypothesis that HOX genes, expressed during embryonal development, can be re-expressed in neoplastic tissue. Therefore, homeobox genes appear to regulate normal development and normal and abnormal cell proliferation [23]. The role of HOX network in the human leukemia, and other neoplasia, is currently studied. Deregulation of HOX genes leads to different form of leukemia: myeloid leukemia (AML) and acute lymphoid leukemia (ALL). Homeobox genes are able to induce translocation and fusion in hematologic neoplasia. The translocation of t (7;11) (p15, p15) in acute myeloid leukemia, is determined by a fusion of HOXA9 protein with amino terminus region NUP98. The HOXA locus is always expressed in T-cells acute lymphocytic leukemias; moreover, HOXA cluster is up-regulated in mixed-lineage leukemia (MLL), suggesting that HOXA locus is highly involved in these leukemias, whereas HOXA9, HOXA10, HOXB3, HOXB6 and HOX B8, are able to induce leukemia latency. Furthermore, the co-upregulation of genes plays a crucial role in the progression of leukaemia; Meis homeobox-1 (MEIS-1) is an interactor of many HOX genes, mainly with HOXB4 and HOXA9, inducing Myeloid leukemia. Finally, alteration of HOX gene network is crucial in several leukemic pathologies [21].

The influence of sex hormones on the HOX genes expression, play a key role in the mammary gland and ovarian cancer; the mammary gland development, during pregnancy, is under control of HOXA9, HOXB9, HOXD9 [24]. On the other hand, high concentrations of steroid hormones during pregnancy are able to downregulate HOXC6 gene expression [25]; while, the HOXA10 and HOXA11 genes expression increase during the menstrual time, owing to high concentrations of estrogen and progesterone hormones, suggesting the involvement of these genes in endometrial development, implantation and maintenance of pregnancy [26].

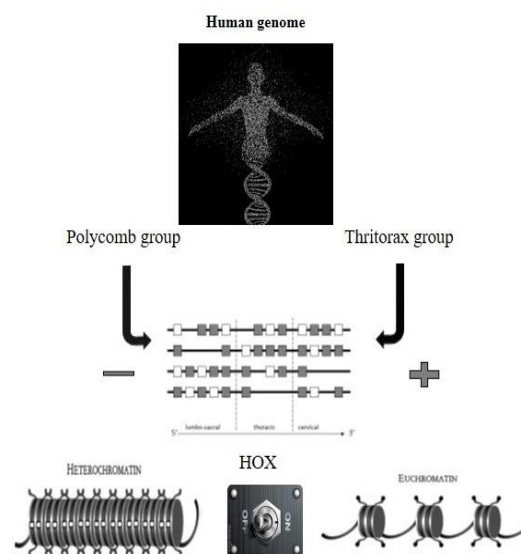


Fig. 3 : Cell Memory Program (see the text)

Recent evidences, confirms the HOXD9 upregulation in the synovial membrane of the rheumatoid arthritis (RA) patients, but not in osteoarthritis or healthy individuals, suggesting that HOXD9 protein is involved in the development of this disease [27]. In conclusion, the homeobox genes and particularly HOX network, controls normal embryonic development, cell differentiation and other crucial processes in the life of the eukaryotic cell [4]. Moreover, deregulation of HOX network is related to several disease as: congenital abnormalities [19], somatic [28], metastatic and neoplastic [15,29,30,31].

## HOX genes in solid tumors

### Breast

The HOX network takes part in different way to the breast oncogenesis, it has been demonstrated the aberrant expression of the HOX genes: i) HOXA, HOXA6 and HOXA13; ii) HOXB, HOXB2, HOXB4, HOXB5, HOXB6, HOXB7, HOXB8, HOXB9; iii) HOXC, HOXC5, HOXC9, HOXC13; iiiii) HOXD, HOXD1 and HOXD8 in breast neoplastic tissues [32,33].

### Ovarian

The HOXA7, HOXA9, HOXA10 and HOXA11 control the embryonic development of Müller duct and are silent in ovarian surface epithelium (OSE), but are always up-regulated in the epithelial ovarian cancer (EOC) [34,35,36].

### Prostate

The HOXC locus is deregulated during prostate cancer evolution. The HOXC4, HOXC5, HOXC6 and HOXC8 genes are constantly up-regulated in

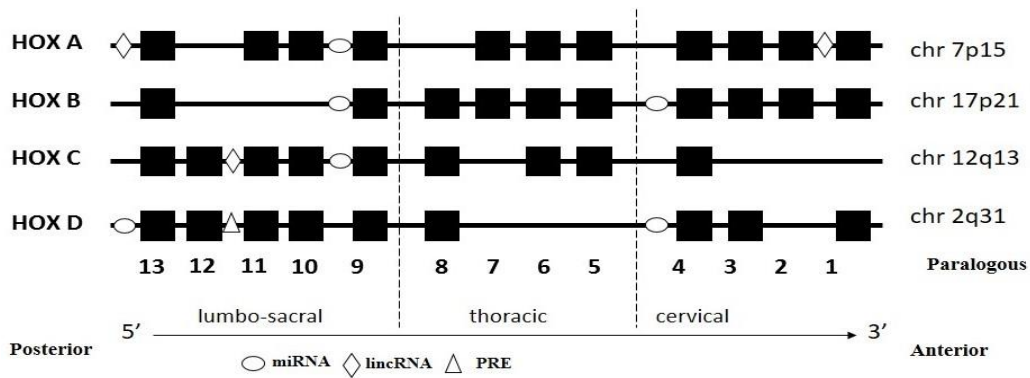


Fig. 4 : Position of miRNA and mcrRNA inside network (see the text).

prostate cancer tissue, cancer cell lines and in lymph-node metastases [37].

#### Lung

The genes located on HOXA, HOXC and HOXD loci (HOXA1, HOXA5, HOXA10, HOXC4, HOXC6, HOXC8, HOXC9, HOXC13, HOXD8, and HOXD10), are deregulated during neoplastic transformation process [38].

#### Colon

The HOX13 paralogous group is involved in colon cancer: i) HOXA13 and HOXD13 upregulated in normal but silent in the tumor tissue. ii) HOXB13 and HOXC13 genes, silent in normal tissue, but constitutively expressed in malignant neoplastic disease [32]. Furthermore, HOXA9, HOXB9, HOXB3 and HOXB8 genes are overexpressed in tumor tissue compared to the controls, unlike HOXD3, HOXD4, HOXD8 and HOXD12 are downregulated in colon cancer tissue [30].

#### Liver

HOXA13 and lincRNA HOTTIP genes, are crucial in hepatocarcinoma (HCC) and tumor progression. In HCC, up-regulation of HOTTIP is coupled with increased of HOXA13. Conversely, low concentration of HOTTIP is coupled with low levels of HOXA13 [40].

#### CONCLUSION

Based on the considerations made in this manuscript, as result of the scientific literature revision and my own experience, it is clear that aberrant expression of one or more members of the HOX network, characterizes different malignancies also based on the type of tissue and the location of tumor. We have seen that there are systematic differences of HOX genes expression in tumor tissue compared to the controls. Another key factor in the study of HOX genes and human neoplasia, it refers to the degree of gene

expression; we have always observed a different activity of the network in tumor tissue compared to normal tissue. Indeed, HOXC4, HOXC9, HOXC11 and HOXC13 genes are predominantly up-regulated in all cancers tissue described here, with the exception of the ovarian cancer; while the HOXA9 and HOXB13 genes are identified as the most commonly altered elements described in the human tumors.

In general, the HOXA locus is often reported as typical of breast and ovarian cancer, the genes of the locus HOXB would mainly be involved in colon cancers, the genes of the locus HOXC are characteristic of the prostate and lung cancer, finally, HOXD locus is mainly related to colon and breast tumor.

Particularly interesting is the role of HOX genes locate in the posterior region of the network, HOXA9-13, HOXB9, HOXB13, HOXC9-13, HOXD9-13, are often up-regulated in the solid tumors previously described. Moreover, the colon, prostate and lung tumor tissues that share a common endodermal embryonic origin tend to share the same pattern of HOXA and HOXB gene expression compared to the breast tumor tissue whose origin is ectodermal. This suggests the tumors that affect tissues with the same embryonic origin share similarities in the pattern of HOX gene expression.

Therefore, the aberrant activity of the HOX network becomes crucial during tumor evolution. The exchange rate of the HOX genes expression during neoplastic transformation, is likely due to: i) type of cancer, ii) stage of the cancer and, in some cases iii) anatomical position. The information about the role of the network in the neoplastic transformation, allows us to consider the Class I homeobox genes both crucial as bio target for future anti-cancer therapies, in the development of the human tumors. If the HOX genes are responsible of the malignancies, then further

research should be directed to the development of therapeutic strategies able to acting in the network and control the HOX genes expression. On the other hand if the aberrant expression of the network is a consequence of carcinogenicity, then the HOX genes will have to be considered as specific biomarkers during the initial stages of neoplastic development and studied according to their sensitivity to anti-cancer therapies. Based on these assumptions, in the future we will be analyzing new results that will be crucial for the study of human tumors evolution.

#### LIST OF ABBREVIATIONS

HOX: Class I homeobox genes

lncRNA: long non-coding RNA

miRNA: micro-RNA

PRE: Polycomb Responsive Element

AML: Myeloid Leukemia

ALL: Acute Lymphoid Leukemia

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